## A. AMENDMENTS TO THE CLAIMS:

This listing of claims will replace all prior versions, and listings, of claims in the application:

## **Listing of Claims:**

1. (Currently Amended) A compound comprising the formula:

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wherein:  $R_1$  is a polymeric residue;

Y<sub>1</sub> is O, S or NR<sub>4</sub>;

M is O, S or NRs;

E, is

$$\begin{array}{c|c}
 & Y_2 \\
 & \downarrow \\
 & \downarrow$$

E2-4 are independently H, E1 or

- (a) is zero or one;
- (m) is zero or a positive integer;
- (n) and (p) are independently 0 or a positive integer;

Y<sub>2-3</sub> are independently O, S or NR<sub>10</sub>;

 $R_{2-10}$  are independently selected from the group consisting of hydrogen,  $C_{1-6}$  alkyls,  $C_{3-12}$  branched alkyls,  $C_{3-8}$  cycloalkyls,  $C_{1-6}$  substituted alkyls,  $C_{3-8}$  substituted cycloalkyls, aryls, substituted aryls, aralkyls,  $C_{1-6}$  heteroalkyls, substituted  $C_{1-6}$  heteroalkyls,  $C_{1-6}$  alkoxy, phenoxy and  $C_{1-6}$  heteroalkoxy;

 $D_1$  and  $D_2$  are independently OH,

$$\begin{array}{c|c} & (IV) & & & & & & & \\ \hline N & & & & & & & \\ \hline N & & & & & & \\ \hline R_{13} & & & & & \\ \hline \end{array}$$

or a terminal branching group;

wherein (v) and (t) are independently 0 or a positive integer up to about 6;

(q) is zero or a positive integer;

L<sub>1</sub> and L<sub>2</sub> are independently selected bifunctional linkers;

Y<sub>4-7</sub> are independently selected from the group consisting of O, S and NR<sub>14</sub>;

 $R_{11-14}$  are independently selected from the group consisting of hydrogen,  $C_{1-6}$  alkyls,  $C_{3-12}$  branched alkyls,  $C_{3-8}$  cycloalkyls,  $C_{1-6}$  substituted alkyls,  $C_{3-8}$  substituted cycloalkyls, aryls, substituted aryls, aralkyls,  $C_{1-6}$  heteroalkyls, substituted  $C_{1-6}$  heteroalkyls,  $C_{1-6}$  alkoxy, phenoxy and  $C_{1-6}$  heteroakoxy;

Ar is a moiety which when included in Formula (I) forms a multi-substituted aromatic hydrocarbon or a multi-substituted heterocyclic group;

B<sub>1</sub> and B<sub>2</sub> are independently selected from the group consisting of leaving groups, OH, residues of hydroxyl-containing moieties or amine-containing moieties;

provided that E, are not all H:

and D<sub>1</sub> and D<sub>2</sub> are not both OH.

2. (Original) The compound of claim 1, wherein R<sub>1</sub> further comprises a capping group A, selected from the group consisting of hydrogen, NH<sub>2</sub>, OH, CO<sub>2</sub>H, C<sub>1.6</sub> moieties and

$$E_2 \xrightarrow{\begin{array}{c|c} E_1 & & Y_1 & & \\ \hline \\ C & & N & C & M \end{array}} \xrightarrow{\begin{array}{c} K_2 \\ C & \\ \hline \\ E_3 & E_4 & & \\ \end{array}} \xrightarrow{\begin{array}{c} K_2 \\ M \end{array}} \xrightarrow{M}$$

3. (Original) A compound of claim 2, comprising the formula:

4. (Original) The compound of claim 1, wherein said terminal branching group comprises the formula:

$$-N$$
 $-C$ 
 $E_{36}$ 
 $E_{38}$ 
 $E_{37}$ 

wherein

E<sub>35</sub> is

$$- \left( \begin{matrix} R_7 \\ \\ \\ \\ \\ R_6 \end{matrix} \right) \begin{matrix} Y_2 \\ \\ C \end{matrix} - D'_1$$

E<sub>36-38</sub> are independently H, E<sub>35</sub> or

$$\begin{array}{c|c}
 & Y_3 \\
 & \parallel \\
 & C \\
 & \downarrow \\
 & R_8
\end{array}$$

(n) and (p) are independently 0 or a positive integer;

Y<sub>2-3</sub> are independently O, S or NR<sub>10</sub>;

 $R_{6-10}$  are independently selected from the group consisting of hydrogen,  $C_{1-6}$  alkyls,  $C_{3-12}$  branched alkyls,  $C_{3-8}$  cycloalkyls,  $C_{1-6}$  substituted alkyls,  $C_{3-8}$  substituted cycloalkyls, aryls, substituted aryls, aralkyls,  $C_{1-6}$  heteroalkyls, substituted  $C_{1-6}$  heteroalkyls,  $C_{1-6}$  alkoxy, phenoxy and  $C_{1-6}$  heteroalkoxy;

D', and D', are independently OH,

$$\begin{array}{c|c}
(IV) & Y_4 & Y_5 \\
\hline
N & L_1 & C \\
R_{13} & C & Y_5
\end{array}$$

$$\begin{array}{c|c}
Ar & C & Y_7 \\
\hline
C & R_{12} & q
\end{array}$$

or

$$\begin{array}{c|c}
(VII) & E_{45} \\
\hline
--N & C & ----E_{46} \\
\hline
E_{48} & E_{47}
\end{array}$$

wherein (v) and (t) are independently 0 or a positive integer up to about 6;

(q) is zero or a positive integer;

L<sub>1</sub> and L<sub>2</sub> are independently selected bifunctional linkers;

Y<sub>4-7</sub> are independently selected from the group consisting of O, S and NR<sub>14</sub>;

R<sub>11-14</sub> are independently selected from the group consisting of hydrogen,

 $C_{1-6}$  alkyls,  $C_{3-12}$  branched alkyls,  $C_{3-8}$  cycloalkyls,  $C_{1-6}$  substituted alkyls,  $C_{3-8}$  substituted cycloalkyls, aryls, substituted aryls, aralkyls,  $C_{1-6}$  heteroalkyls, substituted  $C_{1-6}$  heteroalkyls,  $C_{1-6}$  alkoxy, phenoxy and  $C_{1-6}$  heteroakoxy;

Ar is a moiety which when included in Formula (I) forms a multi-substituted aromatic hydrocarbon or a multi-substituted heterocyclic group;

B<sub>1</sub> and B<sub>2</sub> are independently selected from the group consisting of leaving groups, OH, residues of hydroxyl-containing moieties or amine-containing moieties;

E<sub>45</sub> is

$$- \left( \begin{matrix} R_7 \\ I \\ C \\ I \\ R_6 \end{matrix} \right) \begin{matrix} Y_2 \\ I \\ C \\ D"_1 \end{matrix}$$

E<sub>46-48</sub> are independently H, E<sub>45</sub> or

$$\begin{array}{c|c}
 & & Y_3 \\
 & & & \\
 & & C \\
 & & C \\
 & & P
\end{array}$$

wherein

D''1 and D''2 are independently OH,

$$\begin{array}{c|c}
(IV) & Y_4 & Y_5 \\
\hline
 & N \\
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 & N \\
\hline
 & L_1 \\
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 & L_2 \\
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 & R_{12} \\
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 & Q \\
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 & R_{12} \\
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 & Q \\
\hline$$

or

- 5. (Currently amended) The compound of claim 3, wherein  $Y_1$  is O.
- 6. (Original) The compound of claim 1, wherein R<sub>1</sub> comprises a polyalkylene oxide residue.
- 7. (Original) The compound of claim 6, wherein R<sub>1</sub> comprises a polyethylene glycol residue.
- 8. (Original) The compound of claim 3, wherein R<sub>1</sub> comprises a polyethylene glycol residue.

9. (Original) The compound of claim 6, wherein R<sub>1</sub> is selected from the group consisting of

$$-C(=Y_8)-(CH_2)_{f'}O-(CH_2CH_2O)_{x}-A$$
,  $-C(=Y_8)-Y_9-(CH_2)_{f'}O-(CH_2CH_2O)_{x}-A$ ,

$$-C(=Y_8)-NR_{20}-(CH_2)-(CH_2CH_2O)_x-A$$
,  $-(CR_{21}R_{22})_e-O-(CH_2)-(CH_2CH_2O)_x-A$ ,

$$-NR_{20}-(CH_2)_{f'}O-(CH_2CH_2O)_{x}-A$$
,  $-C(=Y_8)-(CH_2)_{f'}O-(CH_2CH_2O)_{x}-(CH_2)_{f'}C(=Y_8)-$ 

$$-C(=Y_8)-Y_9-(CH_2)_{f'}O-(CH_2CH_2O)_{x}-(CH_2)_{f'}Y_9-C(=Y_8)-$$

$$-C(=Y_8)-NR_{20}-(CH_2)_f-O-(CH_2CH_2O)_x-(CH_2)_f-NR_{20}-C(=Y_8)_-$$

$$-(CR_{21}R_{22})_e$$
-O- $(CH_2)_f$ -O- $(CH_2CH_2O)_r$ - $(CH_2)_f$ -O- $(CR_{21}R_{22})_e$ -, and

wherein:

Y<sub>8</sub> and Y<sub>9</sub> are independently O, S or NR<sub>20</sub>;

x is the degree of polymerization;

R<sub>20</sub>, R<sub>21</sub> and R<sub>22</sub> are independently selected from among H, C<sub>1-6</sub> alkyls,

 $C_{3-12}$  branched alkyls,  $C_{3-8}$  cycloalkyls,  $C_{1-6}$  substituted alkyls,  $C_{3-8}$  substituted cycloalkyls, aryls, substituted aryls, aralkyls,  $C_{1-6}$  heteroalkyls, substituted  $C_{1-6}$  heteroalkyls,

C<sub>1-6</sub> alkoxy, phenoxy and C<sub>1-6</sub> heteroalkoxy;

e and f are independently zero, one or two; and

A is a capping group.

- 10. (Original) The compound of claim 9, wherein  $R_1$  comprises -O-( $CH_2CH_2O$ )<sub>x</sub> and x is a positive integer so that the weight average molecular weight is at least about 20,000.
- 11. (Original) The compound of claim 3, wherein  $R_1$  has a weight average molecular weight of from about 20,000 to about 100,000.
- 12. (Original) The compound of claim 3, wherein R<sub>1</sub> has a weight average molecular weight of from about 25,000 to about 60,000.

13. (Original) A compound of claim 3, comprising the formula

14. (Original) The compound of claim 13, wherein D<sub>1</sub> is

- 15. (Original) The compound of claim 13, wherein  $D_1$  is  $\begin{array}{c}
  E_{35} \\
  -N C E_{36} \\
  \downarrow \\
  E_{38} E_{37}
  \end{array}$
- 16. (Original) The compound of claim 1, wherein  $L_1$  is  $(CH_2CH_2O)_2$ .
- 17. (Original) The compound of claim 1, wherein L<sub>2</sub> is selected from the group consisting of -CH<sub>2</sub>-, -CH<sub>2</sub>CH<sub>2</sub>-, -CH<sub>2</sub>C(O)NHCH(CH<sub>3</sub>)-, -(CH<sub>2</sub>)<sub>2</sub>-, -CH<sub>2</sub>C(O)NHCH<sub>2</sub>-, -(CH<sub>2</sub>)<sub>2</sub>-NH-, -(CH<sub>2</sub>)<sub>2</sub>-NH-C(O)(CH<sub>2</sub>)<sub>2</sub>NH- and -CH<sub>2</sub>C(O)NHCH(CH<sub>3</sub>CH(CH<sub>3</sub>)<sub>2</sub>)-.

## 18. (Original) A compound of claim 1, selected from the group consisting of:

wherein R<sub>1</sub> is a PEG residue and D is selected from the group comprising:

where B is a residue of an amine or a hydroxyl-containing drug.

- 19. (Original) A compound of claim 18, wherein B is a residue of a member of the group consisting of: daunorubicin, doxorubicin; p-aminoaniline mustard, melphalan, Ara-C (cytosine arabinoside), leucine-Ara-C, and gemcitabine
- 20. (Original) A method of treatment, comprising administering to a mammal in need of such treatment an effective amount of a compound of claim 1, wherein D<sub>1</sub> is a residue of a biologically active moiety.
- 21. (Original) A method of treatment, comprising administering to a mammal in need of such treatment an effective amount of a compound of claim 18.
- 22. (Currently Amended) A method of preparing a polymer conjugate, comprising: reacting a compound of the formula (VIII):

wherein

(v) and (t) are independently 0 or a positive integer up to about 6;

 $L_1$  and  $L_2$  are independently selected bifunctional linkers;

Y<sub>4-7</sub> are independently selected from the group consisting of O, S and NR<sub>14</sub>;

R<sub>11-14</sub> are independently selected from the group consisting of hydrogen,

 $C_{1.6}$  alkyls,  $C_{3.12}$  branched alkyls,  $C_{3.8}$  cycloalkyls,  $C_{1.6}$  substituted alkyls,  $C_{3.8}$  substituted cycloalkyls, aryls, substituted aryls, aralkyls,  $C_{1.6}$  heteroalkyls, substituted  $C_{1.6}$  heteroalkyls,  $C_{1.6}$  alkoxy, phenoxy and  $C_{1.6}$  heteroalkoxy;

Ar is a moiety which when included in Formula (I) forms a multi-substituted aromatic hydrocarbon or a multi-substituted heterocyclic group; and

B', is a residue of a hydroxyl- or an amine-containing moiety;

with a compound of the formula (IX):

(IX)

 $R_1 = \begin{cases} R_2 \\ C \\ R_3 \end{cases} m \begin{cases} Y_1 \\ C \\ R_3 \end{cases} K_1 = \begin{cases} E_5 \\ C \\ E_8 \end{cases} K_7$ 

wherein

$$E_5$$
 is 
$$\begin{array}{c} \begin{pmatrix} R_7 \\ C \\ R_6 \end{pmatrix} \begin{pmatrix} Y_2 \\ C \\ R_6 \end{pmatrix} D_3$$

E<sub>6-8</sub> are independently H, E<sub>5</sub> or

$$\begin{array}{c|c}
 & & Y_3 \\
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wherein

D<sub>3</sub> and D<sub>4</sub> are independently OH, a leaving group which is capable of reacting with an unprotected amine or hydroxyl or a terminal branching group;

R<sub>1</sub> is a polymeric residue;

Y<sub>1</sub> is O, S or NR<sub>4</sub>;

M is O, S or NR<sub>5</sub>;

(n) and (p) are independently 0 or a positive integer;

Y<sub>2-3</sub> are independently O, S or NR<sub>10</sub>; and

R<sub>2-10</sub> are independently selected from the group consisting of hydrogen,

 $C_{1-6}$  alkyls,  $C_{3-12}$  branched alkyls,  $C_{3-8}$  cycloalkyls,  $C_{1-6}$  substituted alkyls,  $C_{3-8}$  substituted cycloalkyls, aryls, substituted aryls, aralkyls,  $C_{1-6}$  heteroalkyls, substituted  $C_{1-6}$  heteroalkyls,  $C_{1-6}$  alkoxy, phenoxy and  $C_{1-6}$  heteroalkoxy;

provided that E<sub>6.8</sub> are not all H;

## and D<sub>3</sub> and D<sub>4</sub> are not both OH;

under conditions sufficient to cause a polymeric conjugate to be formed.